

13. *Special considerations for railroad bridges.*

Railroad bridges differ from other types of bridges in the types of loads they carry, in their modes of failure and indications of distress, and in their construction details and components. Proper inspection and analysis of railroad bridges require familiarity with the loads, details and indications of distress that are unique to this class of structure. Particular care should be taken that modifications to railroad bridges, including retrofits for protection against the effects of earthquakes, are suitable for the structure to which they are to be applied. Modifications should not adversely affect the serviceability of neither the bridge nor its accessibility for periodic or special inspection.

14. *Railroad implementation of bridge safety programs.*

FRA recommends that each track owner or other entity which is responsible for the integrity of bridges which support its track should comply with the intent of this regulation by adopting and implementing an effective and comprehensive program to ensure the safety of its bridges. The bridge safety program should incorporate the following essential elements, applied according to the configuration of the railroad and its bridges. The basis of the program should be in one comprehensive and coherent document which is available to all railroad personnel and other persons who are responsible for the application of any portion of the program. The program should include:

- (a) Clearly defined roles and responsibilities of all persons who are designated or authorized to make determinations regarding the integrity of the track owner's bridges. The designations may be made by position or by individual;
- (b) Provisions for a complete inventory of bridges that carry the owner's track, to include the following information on each bridge:
  - (1) A unique identifier, such as milepost location and a subdivision code;
  - (2) The location of the bridge by nearest town or station, and geographic coordinates;
  - (3) The name of the geographic features crossed by the bridge;
  - (4) The number of tracks on the bridge;
  - (5) The number of spans in the bridge;
  - (6) The lengths of the spans;
  - (7) Types of construction of:
    - (i) Substructure;
    - (ii) Superstructure; and
    - (iii) Deck;
  - (8) Overall length of the bridge;
  - (9) Dates of:

- (i) Construction;
- (ii) Major renovation; and
- (iii) Strengthening; and
- (10) Identification of entities responsible for maintenance of the bridge or its different components.
- (c) Known capacity of its bridges as determined by rating by competent railroad bridge engineer or by design documents;
- (d) Procedures for the control of movement of high, wide or heavy loads exceeding the nominal capacity of bridges;
- (e) Instructions for the maintenance of permanent records of design, construction, modification, and repair;
- (f) Railroad-specific procedures and standards for design and rating of bridges;
- (g) Detailed bridge inspection policy, including:
  - (1) Inspector qualifications; including:
    - (i) Bridge experience or appropriate educational training;
    - (ii) Training on bridge inspection procedures; and
    - (iii) Training on Railroad Workplace Safety; and
  - (2) Type and frequency of inspection; including:
    - (i) Periodic (at least annually);
    - (ii) Underwater;
    - (iii) Special;
    - (iv) Seismic; and
    - (v) cursory inspections of overhead bridges that are not the responsibility of the railroad;
  - (3) Inspection schedule for each bridge;
  - (4) Documentation of inspections; including:
    - (i) Date;
    - (ii) Name of inspector;
    - (iii) Reporting Format; and
    - (iv) Coherence of information;
  - (5) Inspection Report Review Process;
  - (6) Record retention; and
  - (7) Tracking of critical deficiencies to resolution; and
  - (h) Provide for the protection of train operations following an inspection, noting a critical deficiency, repair, modification or adverse event and should include:
    - (1) A listing of qualifications of personnel permitted to authorize train operations following an adverse event; and
    - (2) Detailed internal program audit procedures to ensure compliance with the provisions of the program.

## APPENDIX B TO PART 237—SCHEDULE OF CIVIL PENALTIES

APPENDIX B TO PART 237—SCHEDULE OF CIVIL PENALTIES <sup>1</sup>

| Section <sup>2</sup>  |  | Violation | Willful violation |
|---|--|-----------|-------------------|
| <b>Subpart B—Railroad Bridge Safety Assurance</b>                     |  |           |                   |
| 237.31  | Adoption of bridge management program .....  | \$9,500   | \$17,000          |
| 237.33  | Content of bridge management program:  |           |                   |
|   | (a) Inventory of railroad bridges .....  | 2,500     | 5,000             |
|   | (b) Record of safe load capacity .....   | 5,500     | 10,000            |
|   | (c) Provision to obtain and maintain:  |           |                   |
|   | (i) Design documents .....   | 5,500     | 10,000            |
|   | (ii) Documentation of repairs and modifications .....                              | 2,500     | 5,000             |
|   | (iii) Inspection reports .....   | 2,500     | 5,000             |
|   | (d) Bridge inspection program content .....  | 2,500     | 5,000             |
| <b>Subpart C—Qualification and Designation of Responsible Persons</b> |  |           |                   |
| 237.51  | Railroad bridge engineers:   |           |                   |
|   | (a) Competency .....   | 5,500     | 10,000            |
|   | (b) Educational qualification .....  | 2,500     | 5,000             |
| 237.53  | Railroad bridge inspectors .....   | 5,500     | 10,000            |
| 237.55  | Railroad bridge supervisors .....  | 5,500     | 10,000            |
| 237.57  | Designation of individuals .....   | 2,500     | 5,000             |
| <b>Subpart D—Capacity of Bridges</b>                                  |  |           |                   |
| 237.71  | Determination of bridge load capacities:   |           |                   |
|   | (a) Safe load capacity .....   | 5,500     | 10,000            |
|   | (b) Load capacity documented .....   | 5,500     | 10,000            |
|   | (c) Load capacity determined by a railroad bridge engineer .....                   | 5,500     | 10,000            |
|   | (d) Method of load capacity determination .....                                    | 2,500     | 5,000             |
|   | (e) Prioritization of load capacity determination .....                            | 2,500     | 5,000             |
|   | (f) New load capacity determined due to change in condition .....                  | 2,500     | 5,000             |
|   | (g) Load capacity stated in terms of weight and length of equipment .....          | 2,500     | 5,000             |
|   | (h) Restriction on operations by railroad bridge engineer .....                    | 5,500     | 10,000            |
| 237.73  | Protection of bridges from over-weight and over-dimension equipment:               |           |                   |
|   | (a) Instructions issued .....  | 5,500     | 10,000            |
|   | (b) Weight instructions .....  | 2,500     | 5,000             |
|   | (c) Dimensional instructions .....   | 2,500     | 5,000             |
|   | (d) Incorrect instructions issued .....  | 2,500     | 5,000             |
| <b>Subpart E—Bridge Inspection</b>                                    |  |           |                   |
| 237.101   | Scheduling of bridge inspections:  |           |                   |
|   | (a) Scheduling:  |           |                   |
|   | (i) Failure to inspect .....   | 9,500     | 17,000            |
|   | (ii) Inspection within calendar year .....   | 2,500     | 5,000             |
|   | (iii) Inspection frequency exceeding 540 days .....                                | 2,500     | 5,000             |
|   | (b) Increased inspection frequency .....   | 5,500     | 10,000            |
|   | (c) Special inspections .....  | 2,500     | 5,000             |
|   | (d) Resumption of railroad operations prior to inspection & review .....           | 9,500     | 17,000            |
| 237.103   | Bridge inspection procedures .....   | 2,500     | 5,000             |
| 237.105   | Special inspections:   |           |                   |
|   | (a) Procedures to protect train operations and requiring special inspections ..... | 2,500     | 5,000             |
|   | (b) Provision for the detection of scour or underwater deterioration .....         | 2,500     | 5,000             |
| 237.107   | Conduct of bridge inspections .....  | 5,500     | 10,000            |
| 237.109   | Bridge inspection records:   |           |                   |
|   | (a) Record of inspection .....   | 2,500     | 5,000             |
|   | (b) Inspection record:   |           |                   |
|   | (i) Certification and date .....   | 2,500     | 5,000             |
|   | (ii) Falsification .....   |           | 17,000            |
|   | (c) Inspection record information .....  | 2,500     | 5,000             |
|   | (d) Initial report within 30 days .....  | 2,500     | 5,000             |
|   | (e) Final inspection report within 120 calendar days .....                         | 2,500     | 5,000             |
|   | (f) Retention .....  | 2,500     | 5,000             |
|   | (g) Prompt reporting of dangerous conditions .....                                 | 5,500     | 10,000            |
| 237.111   | Review of bridge inspection reports:   |           |                   |
|   | (a) Review by railroad bridge engineers and supervisors .....                      | 2,500     | 5,000             |
|   | (b) Appropriate action concerning present or potential safety hazards .....        | 5,500     | 10,000            |
|   | (c) Modification of inspection frequency or procedures .....                       | 2,500     | 5,000             |
|   | (d) Scheduling remedial action .....   | 2,500     | 5,000             |
|   | (e) Higher-level review .....  | 2,500     | 5,000             |
| <b>Subpart F—Repair and Modification of Bridges</b>                   |  |           |                   |
| 237.131   | Design .....   | 5,500     | 10,000            |

APPENDIX B TO PART 237—SCHEDULE OF CIVIL PENALTIES<sup>1</sup>—Continued

| Section <sup>2</sup>   | Violation | Willful violation |
|--|-----------|-------------------|
| 237.133 Supervision of repairs and modifications .....                           | 5,500     | 10,000            |
| <b>Subpart G—Documentation, Records and Audits of Bridge Management Programs</b> |           |                   |
| 237.151 Audits; general .....  | 2,500     | 5,000             |
| 237.153 Audits of inspections .....  | 2,500     | 5,000             |
| 237.155 Documents and records:   |           |                   |
| (a) Electronic recordkeeping, general .....                                      | 2,500     | 5,000             |
| (b) System security .....  | 2,500     | 5,000             |

<sup>1</sup>A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to \$100,000 for any violation where circumstances warrant. See 49 CFR part 209, appendix A.

<sup>2</sup>The penalty schedule uses section numbers from 49 CFR part 237. If more than one item is listed as a type of violation of a given section, each item is also designated by a "penalty code," which is used to facilitate assessment of civil penalties, and which may or may not correspond to any subsection designation(s). For convenience, penalty citations will cite the CFR section and the penalty code, if any. FRA reserves the right, should litigation become necessary, to substitute in its complaint the CFR citation in place of the combined CFR and penalty code citation, should they differ.

**PART 238—PASSENGER EQUIPMENT SAFETY STANDARDS****Subpart A—General**

Sec.

- 238.1 Purpose and scope.
- 238.3 Applicability.
- 238.5 Definitions.
- 238.7 Waivers.
- 238.9 Responsibility for compliance.
- 238.11 Penalties.
- 238.13 Preemptive effect.
- 238.15 Movement of passenger equipment with power brake defects.
- 238.17 Movement of passenger equipment with other than power brake defects.
- 238.19 Reporting and tracking of repairs to defective passenger equipment.
- 238.21 Special approval procedure.
- 238.23 Information collection.

**Subpart B—Safety Planning and General Requirements**

- 238.101 Scope.
- 238.103 Fire safety.
- 238.105 Train electronic hardware and software safety.
- 238.107 Inspection, testing, and maintenance plan.
- 238.109 Training, qualification, and designation program.
- 238.111 Pre-revenue service acceptance testing plan.
- 238.113 Emergency window exits.
- 238.114 Rescue access windows.
- 238.115 Emergency lighting.
- 238.117 Protection against personal injury.
- 238.119 Rim-stamped straight-plate wheels.
- 238.121 Emergency communication.
- 238.123 Emergency roof access.

FIGURE 1 TO SUBPART B OF PART 238—EXAMPLE OF LOCATION AND STAGGERING OF EMERGENCY WINDOW EXITS—§ 238.113

FIGURE 1A TO SUBPART B OF PART 238—EXAMPLE OF LOCATION OF RESCUE ACCESS WINDOWS—§ 238.114

FIGURE 1B TO SUBPART B OF PART 238—EXAMPLE OF LOCATION AND STAGGERING OF EMERGENCY WINDOW EXITS AND LOCATION OF RESCUE ACCESS WINDOWS—§§ 238.113 AND 238.114

FIGURE 1C TO SUBPART B OF PART 238—EXAMPLE OF A PASSENGER COMPARTMENT INCLUDING A VESTIBULE CONNECTED BY AN OPEN PASSAGEWAY AND EXCLUDING A VESTIBULE SEPARATED BY AN INTERIOR DOOR—§§ 238.113 AND 238.114

FIGURE 2 TO SUBPART B OF PART 238—EXAMPLE OF A MULTI-LEVEL CAR COMPLYING WITH WINDOW LOCATION AND STAGGERING REQUIREMENTS—§§ 238.113 AND 238.114

FIGURE 2A TO SUBPART B OF PART 238—EXAMPLE OF AN INTERMEDIATE LEVEL SEATING AREA OF A MULTI-LEVEL CAR COMPLYING WITH WINDOW LOCATION REQUIREMENTS—§§ 238.113 AND 238.114

FIGURE 2B TO SUBPART B OF PART 238—EXAMPLE OF AN INTERMEDIATE LEVEL SEATING AREA OF A MULTI-LEVEL CAR COMPLYING WITH WINDOW LOCATION REQUIREMENTS—§§ 238.113 AND 238.114

FIGURE 3 TO SUBPART B OF PART 238—EXAMPLE OF LOCATION AND MARKING OF STRUCTURAL WEAK POINTS ON ROOF OF PASSENGER CAR—§ 238.123

**Subpart C—Specific Requirements for Tier I Passenger Equipment**

- 238.201 Scope/alternative compliance.
- 238.203 Static end strength.
- 238.205 Anti-climbing mechanism.
- 238.207 Link between coupling mechanism and car body.
- 238.209 Forward-facing end structure of locomotives, including cab cars and MU locomotives.
- 238.211 Collision posts.
- 238.213 Corner posts.
- 238.215 Rollover strength.